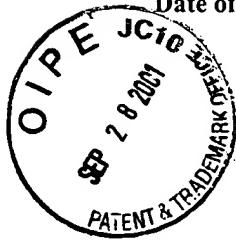


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Express Mail Label No.: EL862123620US  
Date of Deposit: September 28, 2001

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PATENT APPLICATION  
Attorney Docket No. 21486-026CIP2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gregory D. Jay  
SERIAL NUMBER: 09/897,188 EXAMINER: Not Yet Assigned  
FILING DATE: July 2, 2001 ART UNIT: 1653  
FOR: TRIBONECTINS POLYPEPTIDES AND USES THEREOF

September 28, 2001  
Boston, Massachusetts

### Box MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

### TRANSMITTAL LETTER

Enclosed herewith are the following for the above-identified non-provisional patent application:

1. Preliminary Amendment ( 1 page);
2. Paper Copy of the Sequence Listing (16 pages);
  - a. Computer Readable Form Copy of the Sequence Listing (1 diskette);
  - b. Statement in Support of Computer Readable Form Submission (1 page);
3. Check #10344 in the amount of \$65.00;
4. Copy of Notice to File Missing Parts (2 pages);
5. Formal drawings Figs. 1A, 1B and 2 (3 pages);
6. Executed Combined Declaration and Power of Attorney (2 pages); and
7. Return postcard.

Applicant believes no fee to be due with this submission. However, the Commissioner is hereby authorized to charge any fee that may be due, or to credit any overpayment, to Deposit Account No. 50-0311 (Reference No. 21486-026CIP2). A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

*IAB*

Date: September 28, 2001

Ingrid A. Beattie. No. 42,306  
Attorneys for Applicants  
c/o MINTZ, LEVIN  
One Financial Center  
Boston, Massachusetts 02111  
Tel: (617) 542-6000  
Fax: (617) 542-2241



UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,188	07/02/2001	Gregory D. Jay	21486-026 CIP2

CONFIRMATION NO. 4415

FORMALITIES LETTER



\*OC00000006360509\*

MINTZ, LEVIN, COHN, FERRIS,  
GLOVSKY and POPEO, P.C.  
One Financial Center  
Boston, MA 02111

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AM 6 1 2001

MINTZ LEVIN COHN FERRIS  
GLOVSKY & POPEO, P.C.

Date Mailed: 07/30/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

9/30/2001

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also

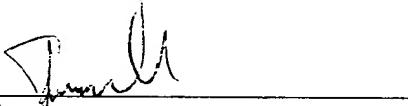
provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,188	07/02/2001	Gregory D. Jay	21486-026 CIP2

## CONFIRMATION NO. 4415

MINTZ, LEVIN, COHN, FERRIS,  
 GLOVSKY and POPEO, P.C.  
 One Financial Center  
 Boston, MA 02111

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MINTZ LEVIN BOSTON  
 PATENT DOCKET DEPT.

## FORMALITIES LETTER



\*OC000000006360509\*

Date Mailed: 07/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

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provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

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